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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PLICANT:

Rüdiger SIEMENS et al

DOCKET NO.: P06,0176

**SERIAL NO.:** 

10/581,502

FILED:

June 2, 2006

**INVENTION:** 

METHOD AND SYSTEM FOR PROCESSING PRINT DATA OF

AT LEAST ONE PRINT PAGE

**MAIL STOP PCT** 

Commissioner for Patents, P. O. Box 1450 Alexandria, Virginia 22313-1450

## SUBMITTAL OF ENGLISH TRANSLATION OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

SIR:

Enclosed herewith is an English translation of the International Preliminary Examination Report.

Respectfully submitted,

(Reg. No. 27,841)

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## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to

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**CUSTOMER NO. 26574** 

DATE: December 7, 2006

BRÉTT A. VALIQUE

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## From the INTERNATIONAL BUREAU

## PCT

NOTIFICATION OF TRANSMITTAL
OF COPIES OF TRANSLATION
OF THE INTERNATIONAL PRELIMINARY REPORT
ON PATENTABILITY
(CHAPTER I OR CHAPTER II
OF THE PATENT COOPERATION TREATY)

(PCT Rules 44bis.3(c) and 72.2)

SCHAUMBURG, FEINGEGANGEN
Postfach 86 07 48
81634 München
ALLEMAGNE
2 1. Nov. 2006
Erled.

Date of mailing (day/month/year)
16 November 2006 (16.11.2006)

Applicant's or agent's file reference 2003-1201 P

International application No. PCT/EP2004/014042

IMPORTANT NOTIFICATION

International filing date (day/month/year)
09 December 2004 (09.12.2004)

Applicant

OCE PRINTING SYSTEMS GmbH et al

ì.	<b>Transmittal</b>	of the	translation	to	the a	pplicant
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The International Bureau transmits herewith a copy of the English translation of the international preliminary report on
patentability (Chapter I).

The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

## 2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

KR

The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer

Agnes Wittmann-Regis

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## PATENT COOPERATION TREATY

# TRANSLATION INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

4 11 11			<del></del>		
	s or agent's file reference -1201 P	FOR FURTHER	ACTION	See Form PCT/IPEA/416	
Internation	al application No.	International filing	date (day/month/year)	Priority date (day/month/year)	
PCT/	EP2004/0140	09.12.20	04	09.12.2003	
Internation	nal Patent Classification	(IPC) or national classification ar	nd IPC		
G06K	15/00, G06E	717/21			
	-				
Applicant					
OCE 1	PRINTING SY	STEMS GmbH			
		ational preliminary examination		International Preliminary Examining Authority	
1	This REPORT consists of	_ ''		g this cover sheet.	
		panied by ANNEXES, comprisin	<del></del>	B mas de voi sincer.	
	NZI				
а	,	pplicant and to the International		sheets, as follows:	
		containing rectifications authorize		amended and are the basis for this report and/or ale 70.16 and Section 607 of the Administrative	
	sheets	which supersede earlier sheets, bu	t which this Authority con	siders contain an amendment that goes beyond	
	the disc Box.	closure in the international applic	ation as filed, as indicated	in item 4 of Box No. I and the Supplemental	
t	o (sent to the I	nternational Bureau only) a total o	of (indicate type and numbe	r of electronic carrier(s))	
				, containing a sequence listing and/or tables	
		in computer readable form only, the Administrative Instructions).	as indicated in the Supple	mental Box Relating to Sequence Listing (see	
4. T	his report contains indi	cations relating to the following it	ems:		
	Box No. I	Basis of the report			
L	Box No. II	Priority			
	Box No. III	Non-establishment of opinion wi	th regard to novelty, invent	ive step and industrial applicability	
L	Box No. IV	Lack of unity of invention			
	Box No. V  Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement				
	Box No. VI Certain documents cited				
Box No. VII Certain defects in the international application					
	Box No. VIII Certain observations on the international application				
Date of submission of the demand Date of completion of this report				s report	
				<b>r</b>	
Name and mailing address of the IPEA/EP			Authorized officer		
Facsimile No.			Telephone No.		

International application No.

PCT/EP2004/014042

Во	x No. l	I	Basis of the report
1.	With	h regard i cated unc	o the language, this report is based on the international application in the language in which it was filed, unless otherwise er this item.
		which i	ternational search (Rule 12.3 and 23.1(b))  ablication of the international application (Rule 12.4)
2.	rece	h regard to viving Off report): the inte	ternational preliminary examination (Rule 55.2 and/or 55.3)  to the elements of the international application, this report is based on (replacement sheets which have been furnished to the ice in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to an invitation as originally filed/furnished artipation:
	$\square$	pages* pages*	1, 3-19  2, 2a, 2b  received by this Authority on  as originally filed/furnished 10.10.2005 with letter of 10.10.2005  received by this Authority on
	KZ	nos.	as originally filed/furnished
		nos.*	as amended (together with any statement) under Article 19 10.10.2005 with letter received by this Authority on received by this Authority on
	$\boxtimes$	sheets	1/8-8/8 as originally filed/furnished received by this Authority on
		sheets* a seque	received by this Authority on  ce listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.
3.		th th	e description, pages  c claims, nos.  c drawings, sheets/figs c sequence listing (specify):  y table(s) related to sequence listing (specify):
4.		they have they have they have they have they have the they have the have	ort has been established as if (some of) the amendments annexed to this report and listed below had not been made, since the been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).  It description, pages  It claims, nos.  It drawings, sheets/figs  It is sequence listing (specify):  It is the sequence listing (specify):
*	If ite		es, some or all of those sheets may be marked "superseded."

International application No.
PCT/EP2004/014042

	DVIER		PCT/EP2004/0140	42
Вох	No. V	Reason citation	ed statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; is and explanations supporting such statement	
1.	Statement			
	Novelty	(N)	Claims 1-13, 21	YES
			Claims 14-20, 22-25	NO
	Inventiv	e step (IS)	Claims 1-13	MEG
			Claims 14-25	YES NO
	Industria	al annlicah		
	Industrial applicability (IA)		Claus 1 20	. YES NO
			Claims	. NO
2.	Citations an	d explanat	tions (Rule 70.7)	
	1.	Refe	erence is made to the following documents:	
		D1:	WO 03/025713 A (A. B. DICK COMPANY; DEXTER,	
			BRIAN; ELLIS, CHARLES; HEARTBERG, MERVET; W)	
			27 March 2003 (2003-03-27)	
		D2:	EP-A-1 133 159 (RISO KAGAKU CORPORATION)	
			12 September 2001 (2001-09-12)	
		D3:	JP 10 105348 A (CANON INC)	
			24 April 1998 (1998-04-24)	
		D4:	JP 11 196285 A (CANON INC)	
		<i>D</i> 1.	21 July 1999 (1999-07-21)	
		D5:	US 2002/057443 A1 (WATANABE YOSHIO)	
		DJ.		
		D6:	16 May 2002 (2002-05-16)	
		DO:	WO 01/77805 A (OCE PRINTING SYSTEMS GMBH;	
			SCHWIER, HARTWIG)	
			18 October 2001 (2001-10-18).	
	2	TNIDE	DENDENT CLAIMS 14 AND 24	
	2. INDEPENDENT CLAIMS 14 AND 24			
		The '	present application fails to satisfy the	
			irements of PCT Article 33(1) because the	

subject matter of claims 14 and 24 lacks novelty

/...

(PCT Article 33(2)).

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

2.1 Document D1 (see paragraphs 37-39) discloses:

a method for the processing of print data from at least one printed page, according to which method a print data stream with print data from one printed page is generated and first object properties are assigned to at least one region of said printed page;

the print data are processed, image data from said region are determined and a preset graphics format is assigned to said image data (paragraph 41);, and

the image data is further processed according to the preset graphics format.

The subject matter of claim 14 therefore lacks novelty (PCT Article 33(2)).

The subject matter of claim 14 also lacks novelty in the light of D6 (see page 7, line 37 to page 8, line 26 and page 12, line 36 to page 13, line 6).

With regard to the feature concerning the assignment of a preselected graphics format, document D2 describes the same advantages as those according to the present application. A person skilled in the art would therefore regard the incorporation of said feature into the method described in D6 to be standard procedure for solving the problem of interest.

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International application No.
PCT/EP2004/014042

Box No. V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

In consequence, the subject matter of claim 14 also fails to involve an inventive step (PCT Article 33(3)).

2.2 The same arguments apply likewise in respect of independent claim 24 since documents D1, D2 and D6 also describe a similar system that is suitable for carrying out the method according to claim 14.

Thus, the subject matter of claim 24, likewise, lacks novelty (PCT Article 33(2)) and fails to involve an inventive step (PCT Article 33(3)).

- Dependent claims 15-23 and 25 contain no features which, combined with the features of any claim to which they refer, meet the PCT requirements for novelty and/or inventive step (see documents D1, D2, D3, D4, D5 and the relevant passages cited in the search report).
- 4. Although claims 1 and 14, on the one hand, and claims 11, 12 and 24, on the other hand, are drafted as separate independent claims, they seem in fact to relate to the same subject matter, the only apparent difference being in the definition of the subject matter for which protection is sought.

The claims are therefore not concise and fail to satisfy the requirements of PCT Article 6.

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Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Document D1 is considered to be the prior art closest to the subject matter of claim 1. Said document discloses a method for the processing of print data, the subject matter of claim 1 differing therefrom by virtue of the selection of a part-region of a printed page in a print data stream and the assignment of specific object properties to the selected part-region of each printed page of the data stream.

The subject matter of claim 1 is therefore novel (PCT Article 33(2)).

The problem addressed by the present invention can consequently be regarded as the need to simplify the assignment of object properties to part-regions of a plurality of printed pages.

The solution to the above problem, as proposed in claim 1 of the present application, involves an inventive step (PCT Article 33(3)):

the assignment of object properties to part-regions on each printed page is effected by a single assignment of object properties to one part of a region of printed page in a print data stream.

The same arguments apply in a similar manner in respect of claims 11 and 12.

/...

citations and explanations supporting such statement

Box No. V

International application No.
PCT/EP2004/014042

Claims 2-10 and 13 are dependent on claims 1 and 12 respectively and, in consequence, likewise satisfy the requirements of the PCT in respect of novelty and inventive step.

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;